

Biomarker Testing and Systemic Treatment for Resectable Non-small Cell Lung Cancer

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Disclosure

Astra Zeneca Advisory Board

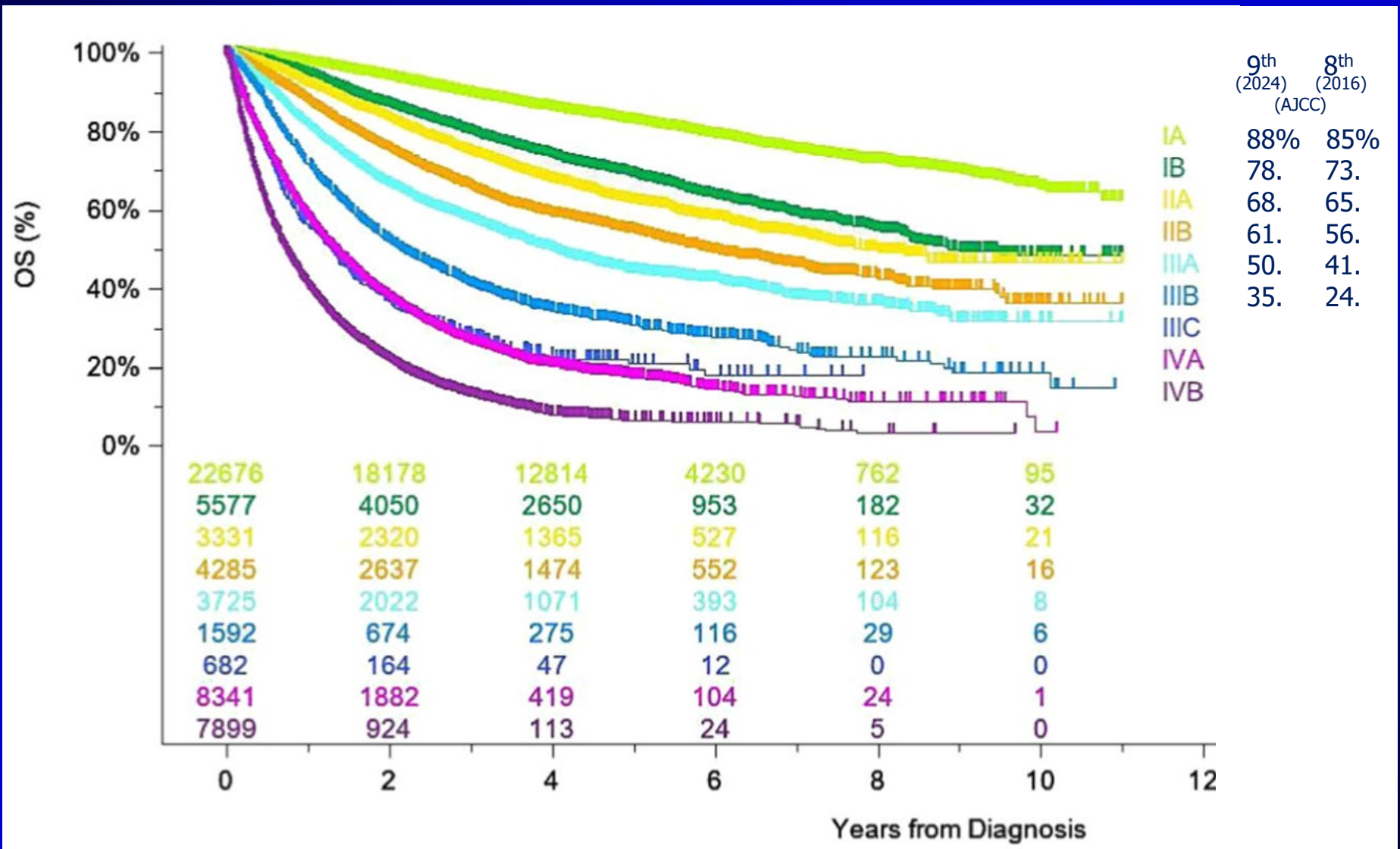
Learning Objectives

Describe recent advances in systemic treatment after Complete resection in NSCLC

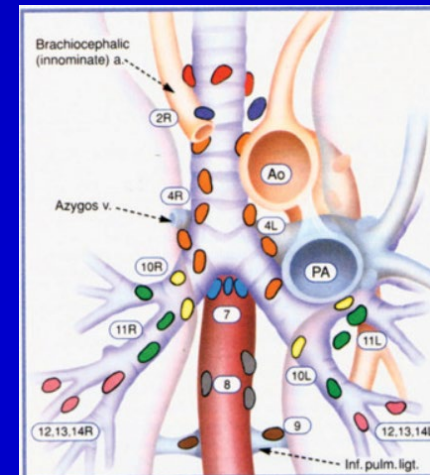
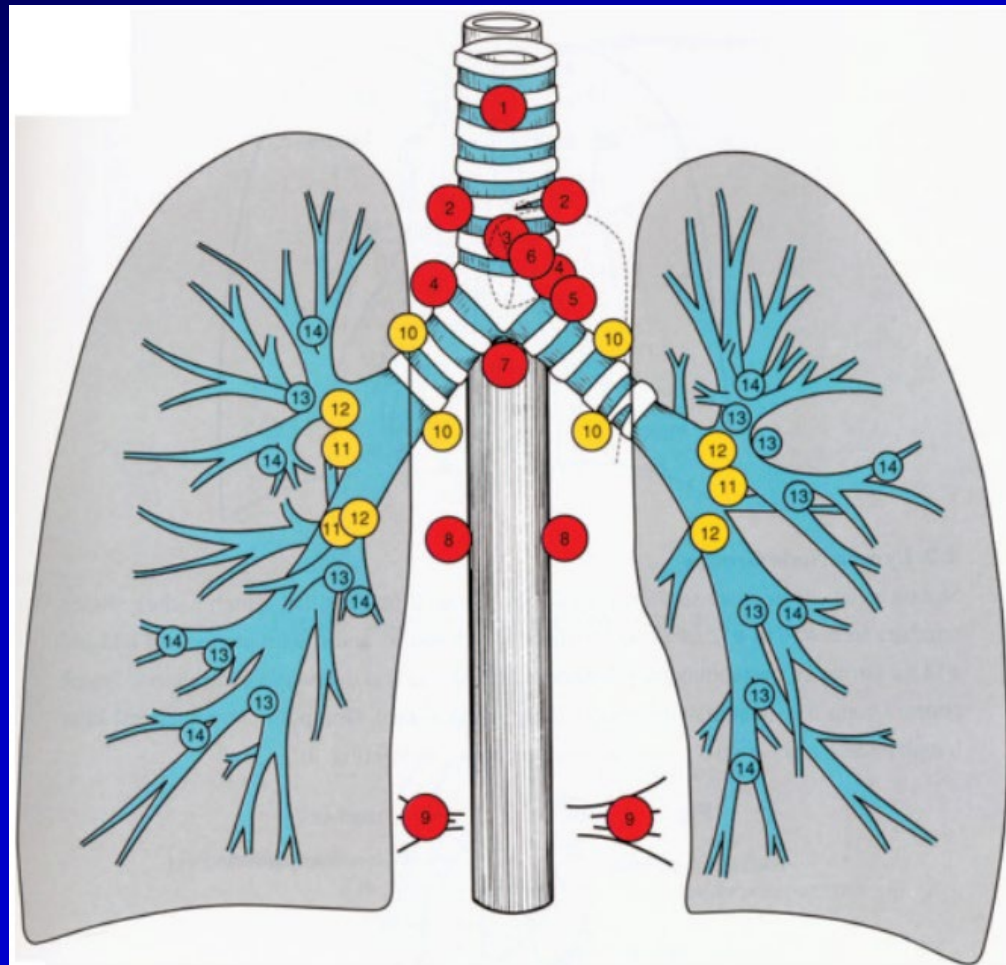
Understand why systemic therapy depends on biomarker Testing in resected NSCLC

Learn the timing of biomarker testing in resected NSCLC

Progresses has been made to cure



NSCLC are Staged Based on What We See (TNM) But We Can not See Micromets



Superior Mediastinal Nodes

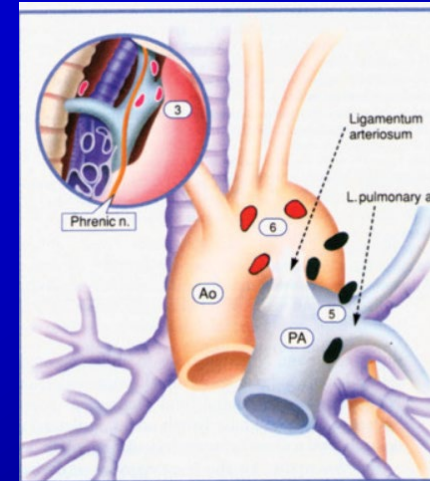
- 1 Highest Mediastinal
- 2 Upper Paratracheal
- 3 Pre-vascular and Retrotracheal
- 4 Lower Paratracheal (including Azygos Nodes)

N₂ = single digit, ipsilateral

N₃ = single digit, contralateral or supraclavicular

Aortic Nodes

- 5 Subaortic (A-P window)
- 6 Para-aortic (ascending aorta or phrenic)



Inferior Mediastinal Nodes

- 7 Subcarinal
- 8 Paraesophageal (below carina)
- 9 Pulmonary Ligament

N₁ Nodes

- 10 Hilar
- 11 Interlobar
- 12 Lobar
- 13 Segmental
- 14 Subsegmental

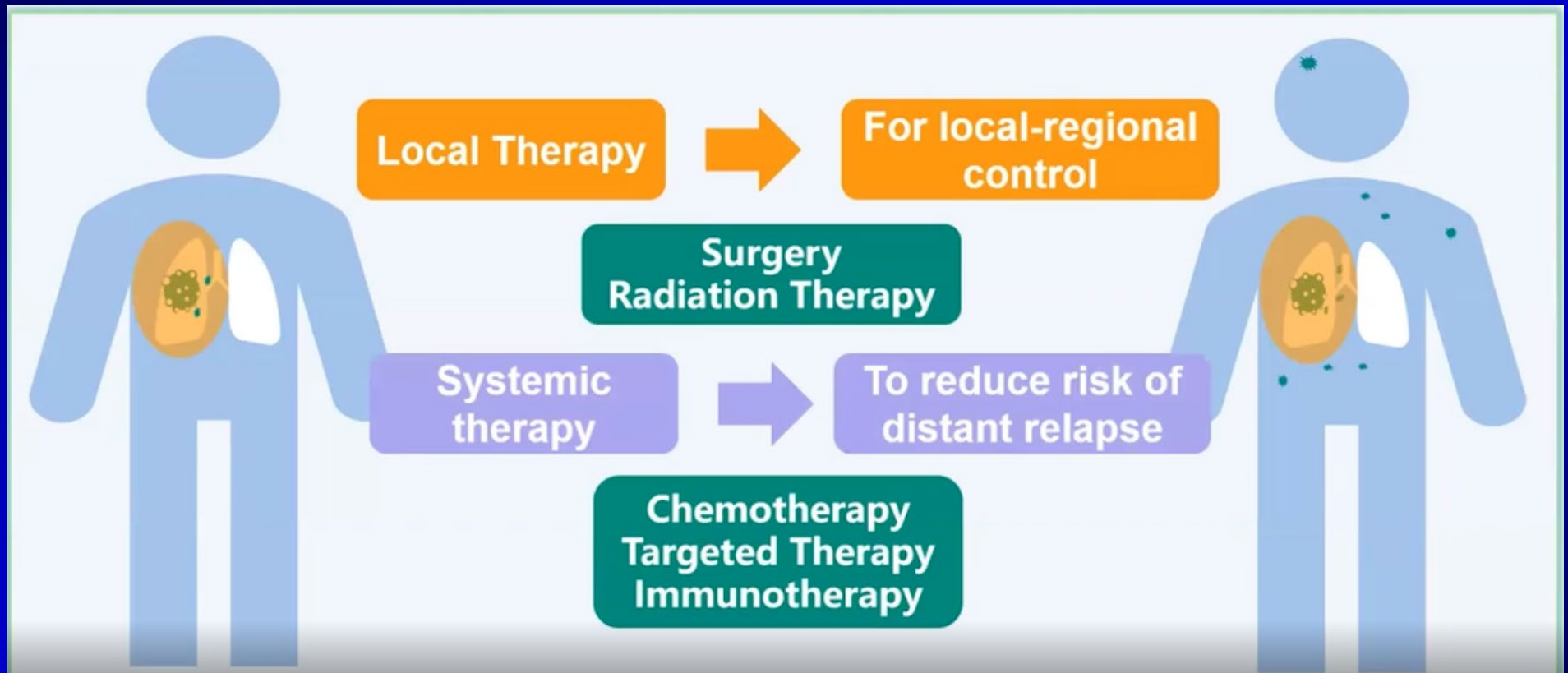
The Three Pillars of Oncological Treatment

Surgery: Addressing local recurrence better with lobectomy and nodal dissection for early occult metastasis to the nodes

Radiation: Addressing local tumor only, can be offered to those who do not like surgery or less robust to go through surgery

Systemic Treatment: Addressing remote metastasis
In addition to boost efficacy of local radiation
Control of remote mets esp in the brain is very attractive.

Early occult metastasis (can not see) contributes to failure



Systemic Treatment Depends on Biology Based on Biomarker Testing

**AGA positive, actionable: EGFR mutation or ALK fusion
TKI with or without chemotherapy first. (2-3 years)**

**AGA negative, But PD-L1 expressing tumor,
Chemotherapy followed by immune checkpoint inhibitor
For one year**

**AGA, negative and PD-L1 negative
Chemotherapy only. 4 cycles of platinum doublet
per histology**

Adjuvant for EGFR+ Lung Cancer after Resection: The ADAURA phase 3

Does it help when you give osimertinib after Platinum doublet for stage II-IIIa lung cancer Treated with surgical resection if EGFR mut+?

Phase 3, randomized, placebo control 1:1, ~340 Patients each. 1° ?DFS 2° ?DFS, ?OS ?Safety

Wu YL et al NEJM Oct 29, 2020; 38(18)1711-32

Adjuvant Osimertinib Improved PFS of EGFR Mutated NSCLC (ADAURA)

Completely resected stage IB, II IIIA, NSCLC with or without adjuvant chemotherapy

Key Criteria

>=18y
ECOG0/1
Non squamous cell NSCLC
Ex 19del/L858R
MRI of the brain
Complete resection neg margin
Enrollment starts
10 weeks without adju chemo
26 weeks with adj chemo

IB vs II vs IIIA
Ex19del Vs L858R
Race

Osimertinib
80 mg QD

Randomization
1:1

Placebo, QD

Treat for 3 years

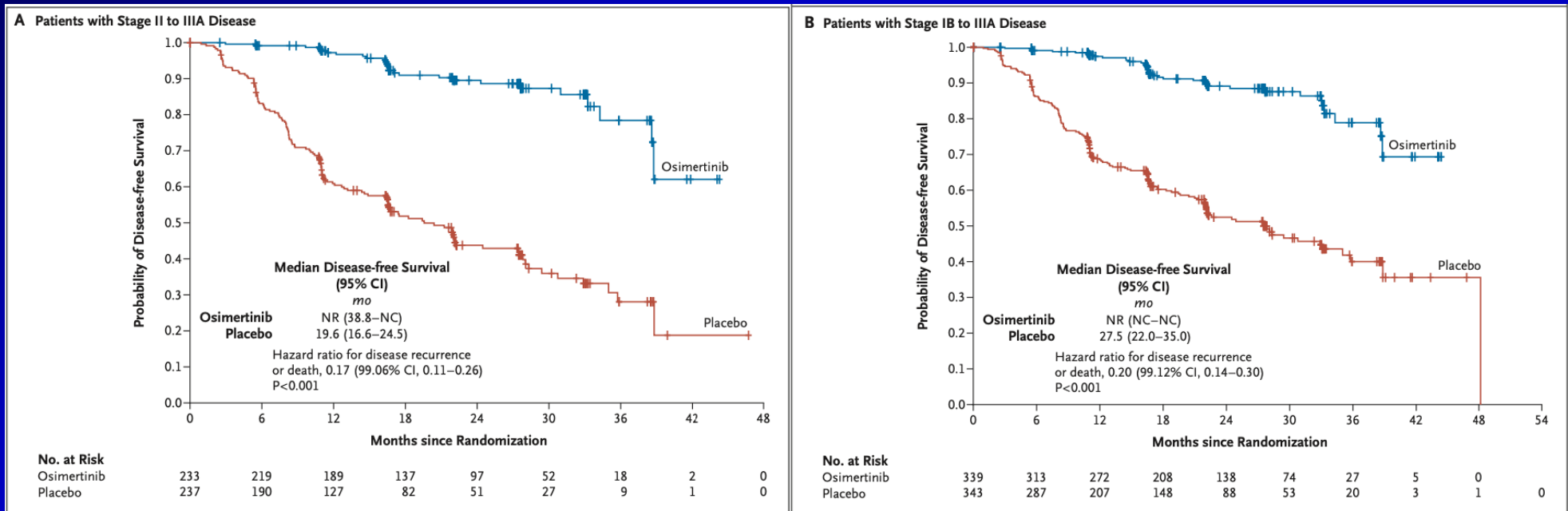
Treatment until:
Disease recurrence
Treatment completion
Discontinuation per protocol

Follow up
Until recurrence week 12 and 24
Then every 24 weeks to 5 years
Then yearly

Endpoints:
Primary: DFS by investigator
assessment in stage II-IIIA

Key secondary endpoints: DFS in
the overall population (IB-IIIA)
Landmark DFS, safety, QOL

Probability of Disease Free Survival



Wu YL et al NEJM Oct 29, 2020; 38(18)1711-32

CNS Disease Free Survival

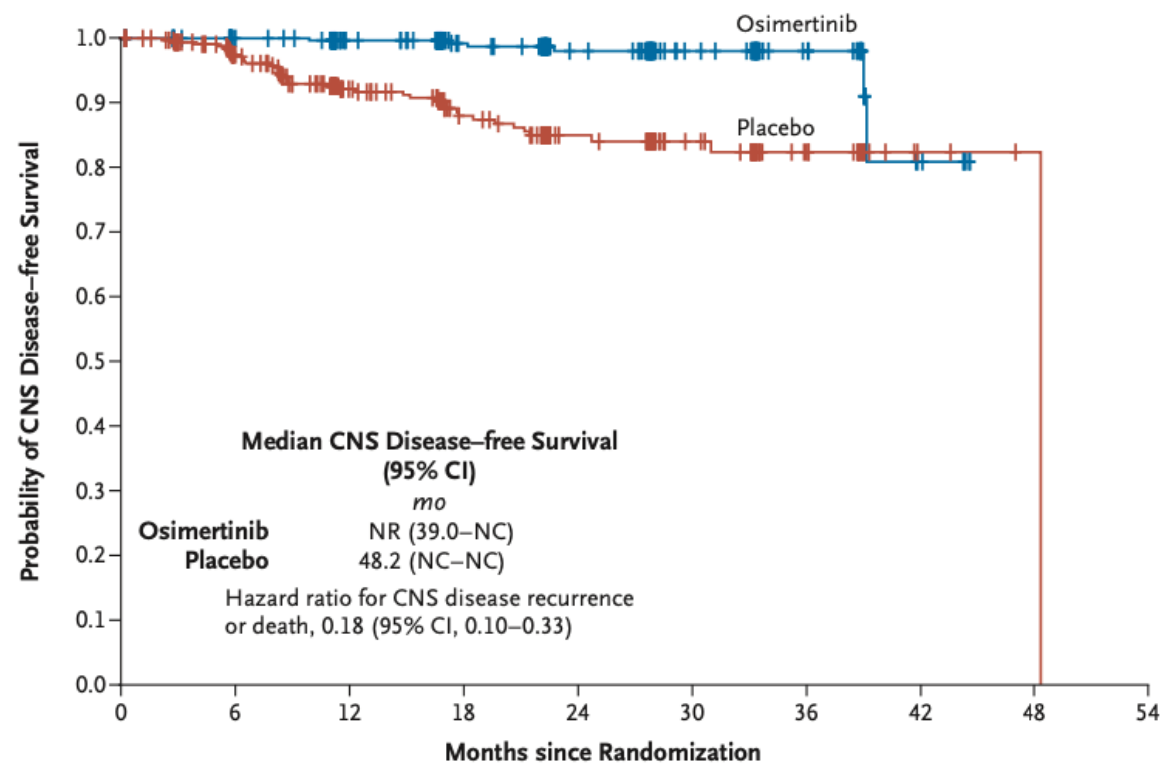
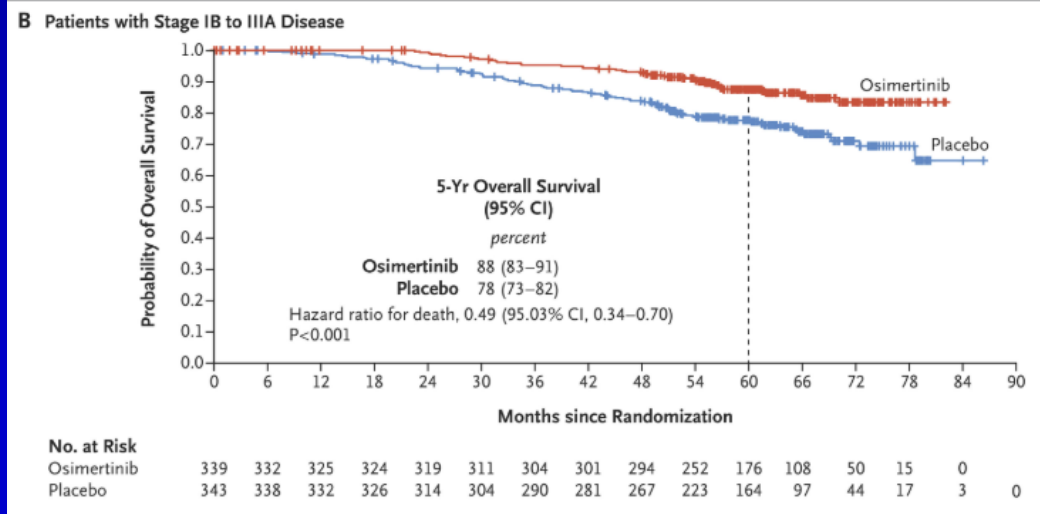
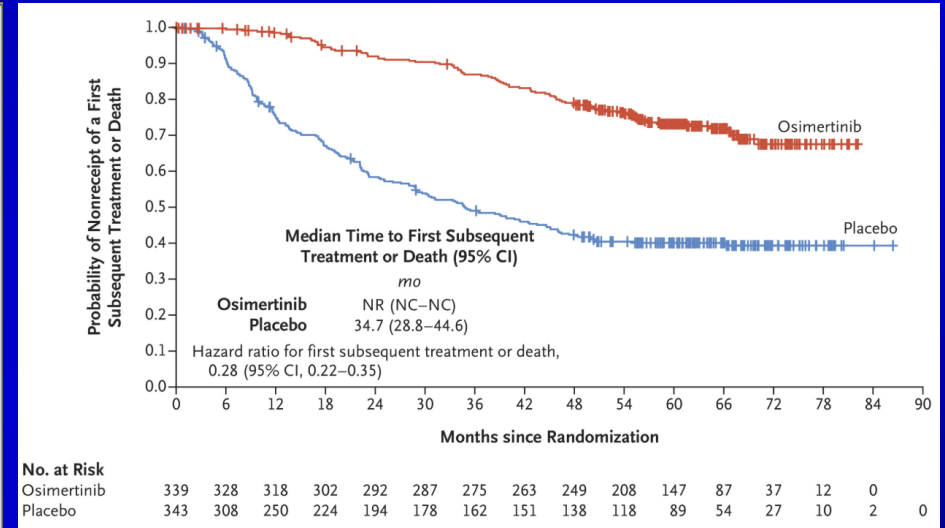
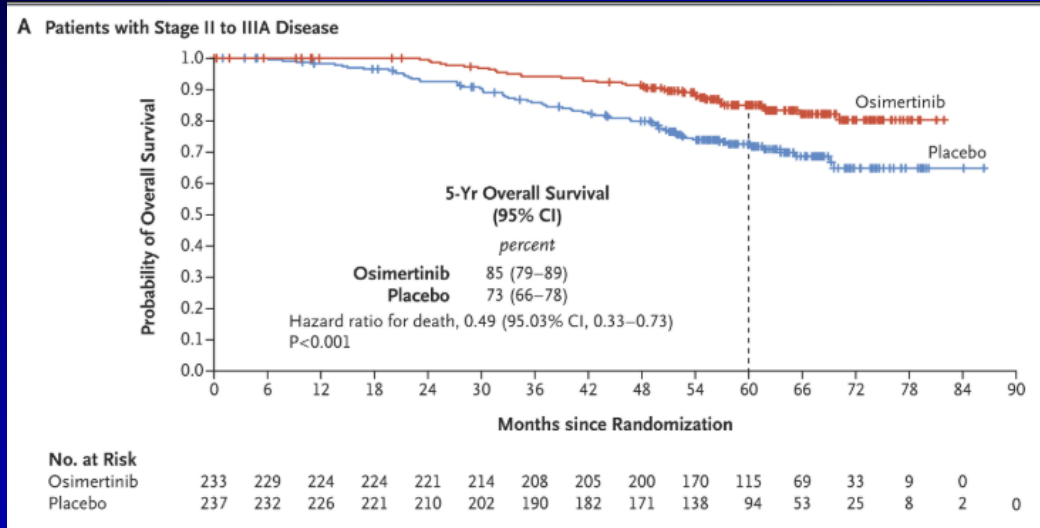


Figure 3. Central Nervous System (CNS) Disease-free Survival, According to Investigator Assessment in the Overall Population.

Shown is the Kaplan–Meier estimate of the duration of CNS disease-free survival in the overall population of patients with stage IB to IIIA disease. Tick marks indicate censored data.

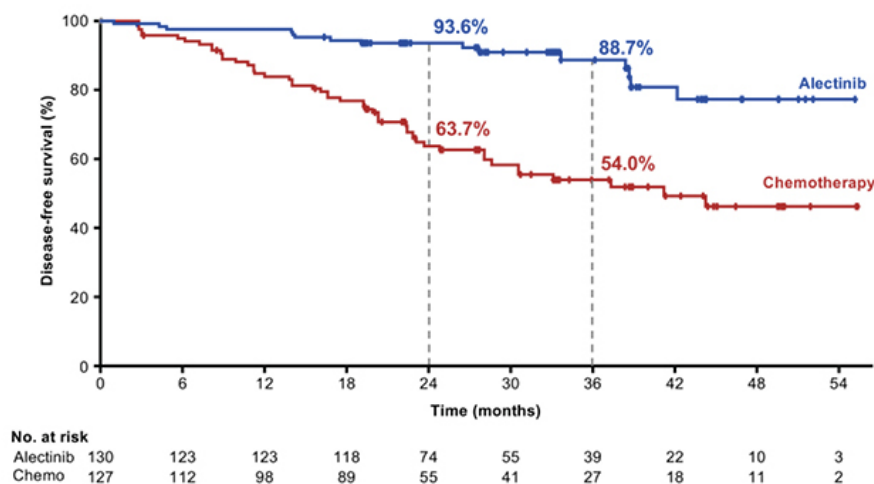
OS and Time to First Subsequent Treatment



Masahiro T et al NEJM 2023

ALINA Trial, Alectinib improve PFS

Disease-free survival: ITT (stage IB-III A)*



	Alectinib (N=130)	Chemotherapy (N=127)
Patients with event	15 (12%)	50 (39%)
Death	0	1
Recurrence	15	49
Median DFS, months (95% CI)	Not reached	41.3 (28.5, NE)
DFS HR (95% CI)	0.24 (0.13, 0.43) p<0.0001	

At the data cutoff date, **OS data were immature** with only 6 (2.3%) OS events reported[§]

Median survival follow up: alectinib, 27.8 months; chemotherapy, 28.4 months

Data cut-off: 26 June 2023; *Per UICC/AJCC 7th edition; †Stratified log rank; ‡2 events in the alectinib arm, 4 events in the chemo arm; one patient in chemo died but was censored due to incomplete date of death recorded. DFS defined as the time from randomisation to the first documented recurrence of disease or new primary NSCLC as determined by the investigator, or death from any cause, whichever occurs first.

Impower 010 Trial

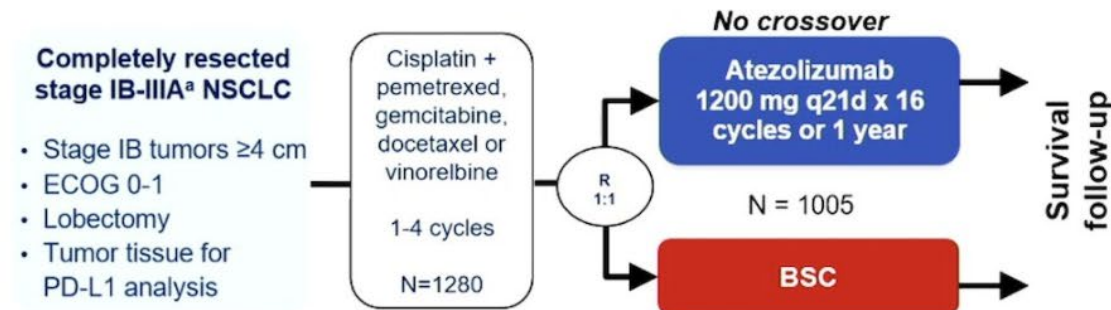
**The role of immune checkpoint inhibitor in resected NSCLC stage Ib to IIIa whose tumors express PD-L1
With atezolizumab (anti-PD-L1)**

**Phase 3, open labeled, randomized ~500 each group
1:1, atezo q3w for 17 cycles**

Felip E. et al; Lancet 2021; 398; 1344-57

Adjuvant Atezolizumab Improve OS in PD-L1>1% NSCLC

IMpower010: Phase III randomised trial of atezolizumab vs BSC in early-stage NSCLC



Stratification factors

- Sex | Stage | Histology | PD-L1 status

Primary endpoint

- Investigator-assessed DFS tested hierarchically

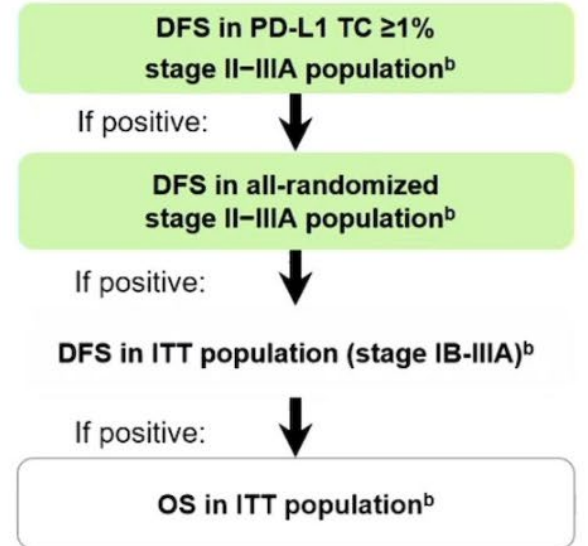
Key secondary endpoints

- OS in ITT | DFS in PD-L1 TC ≥50% | 3-yr and 5-year DFS

Key exploratory endpoints

- OS biomarker analyses

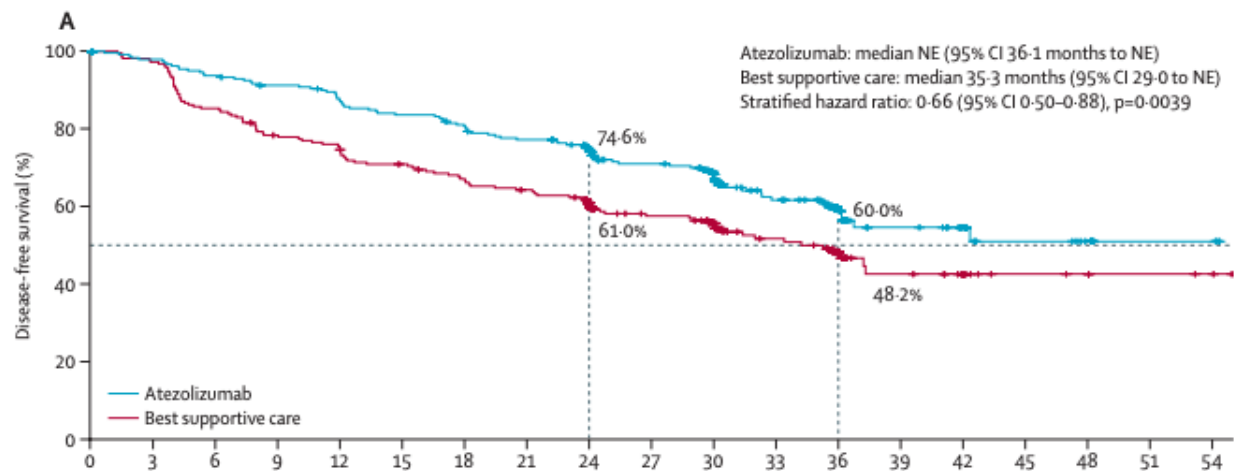
Hierarchical statistical testing of endpoints



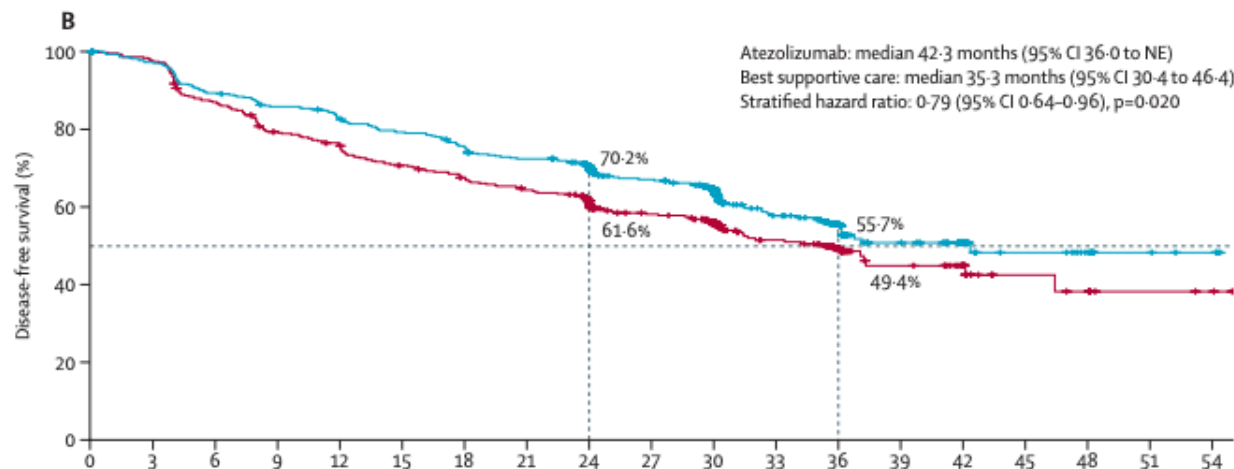
- ☒ Endpoint was met at DFS IA
- ☐ Endpoint was not met at DFS IA and follow up is ongoing
- ☐ Endpoint was not formally tested

Clinical cutoff: 18 April 2022. Both arms included observation and regular scans for disease recurrence on the same schedule. ECOG, Eastern Cooperative Oncology Group, q21d, every 21 days.

^a Per UICC/AJCC staging system, 7th edition. ^b Two-sided $\alpha=0.05$.



Number at risk (number censored)																				
Atezolizumab	248	235	225	217	206	198	190	181	159	134	111	76	54	31	22	12	8	3	3	
	(0)	(8)	(8)	(10)	(11)	(11)	(12)	(13)	(29)	(47)	(65)	(91)	(111)	(130)	(139)	(148)	(152)	(157)	(157)	
Best supportive care	228	212	186	169	160	151	142	135	117	97	80	59	38	21	14	7	6	4	3	
	(0)	(10)	(10)	(12)	(13)	(14)	(15)	(16)	(27)	(41)	(55)	(71)	(88)	(102)	(109)	(116)	(117)	(119)	(120)	

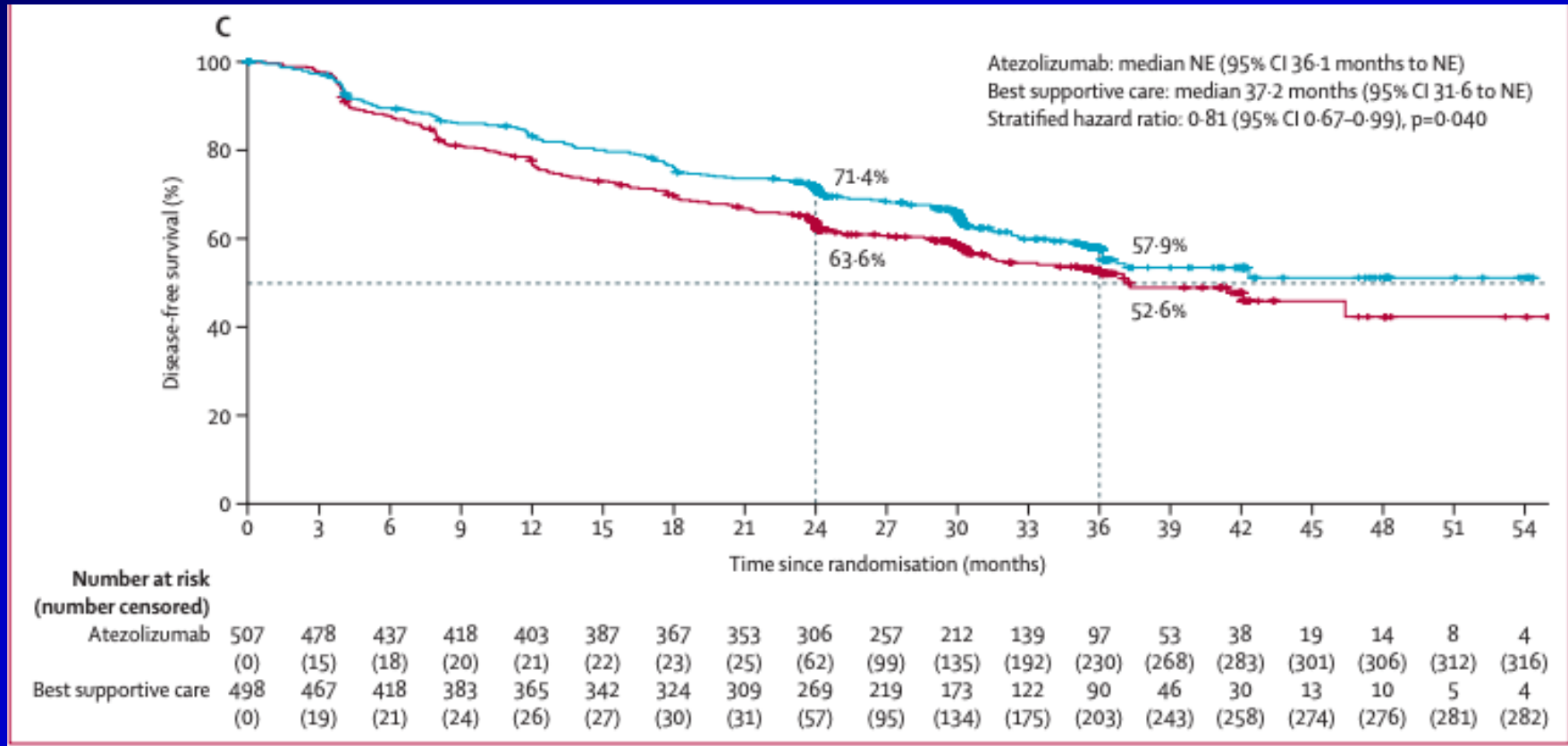


Number at risk (number censored)																				
Atezolizumab	442	418	384	367	352	337	319	305	269	225	185	120	84	48	34	16	11	5	3	
	(0)	(12)	(12)	(14)	(15)	(16)	(17)	(19)	(46)	(79)	(111)	(160)	(192)	(222)	(236)	(253)	(258)	(264)	(266)	
Best supportive care	440	412	366	331	314	292	277	263	230	182	146	102	71	35	22	10	8	4	3	
	(0)	(17)	(19)	(22)	(24)	(25)	(27)	(28)	(50)	(86)	(116)	(150)	(177)	(209)	(222)	(233)	(234)	(238)	(239)	

← **PD-L1>1%**



← **All Patients**

Intention to Treat Population



Felip E. et al; Lancet 2021; 398; 1344-57

Safety Profile Impower 010

	Atezolizumab group (n=495)	Best supportive care group (n=495)
Adverse event		
Any grade	459 (93%)	350 (71%)
Grade 3–4	108 (22%)	57 (12%)
Serious	87 (18%)	42 (8%)
Grade 5	 8 (2%)*	3 (1%)†
Led to dose interruption of atezolizumab	142 (29%)	..
Led to atezolizumab discontinuation	90 (18%)	..
Immune-mediated adverse events		
Any grade	256 (52%)	47 (9%)
Grade 3–4	39 (8%)	3 (1%)
Required the use of systemic corticosteroids‡	 60 (12%)	4 (1%)
Led to discontinuation	52 (11%)	0

Data are n (%). *Interstitial lung disease, multiple organ dysfunction syndrome, myocarditis, and acute myeloid leukaemia (all four events related to atezolizumab), and pneumothorax, cerebrovascular accident, arrhythmia, and acute cardiac failure. †Pneumonia; pulmonary embolism; and cardiac tamponade and septic shock in the same patient. ‡Atezolizumab-related.

Table 2: Safety summary in the safety evaluable population

Two additional trials in the adjuvant settings

Keynote 091 (pembrolizumab)

BR-31 (Durvalumab)

Advantages of neoadjuvant chemoimmune

Addressing systemically micrometastasis before surgery
Less remote failure

Potential downstaging making resection easier with
Less margin problems

Test response to combined chemo and immune therapy
Which is more powerful than either alone

Easier to give, to a uncompromised immune system

Better compliance to treatment

Study Design CheckMate 816

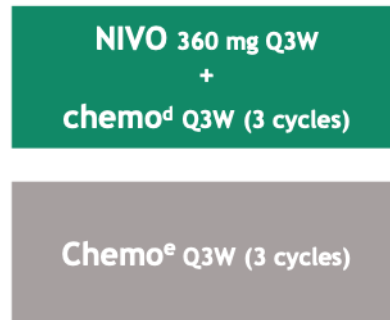
CheckMate 816 study design^{a,1}

Key eligibility criteria

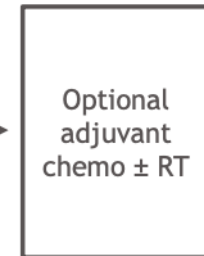
- Newly diagnosed, resectable, stage IB (≥ 4 cm)-IIIA NSCLC (per TNM 7th edition)
- ECOG PS 0-1
- No known sensitizing *EGFR* mutations or *ALK* alterations

Stratified by
stage (IB/II vs IIIA),
PD-L1^b ($\geq 1\%$ vs $< 1\%$), and sex

N = 358
R
1:1



Radiologic
restaging



Follow-up

Primary endpoints

- pCR by BIPR
- EFS by BICR

Key secondary endpoints

- MPR by BIPR
- OS
- Time to death or distant metastases

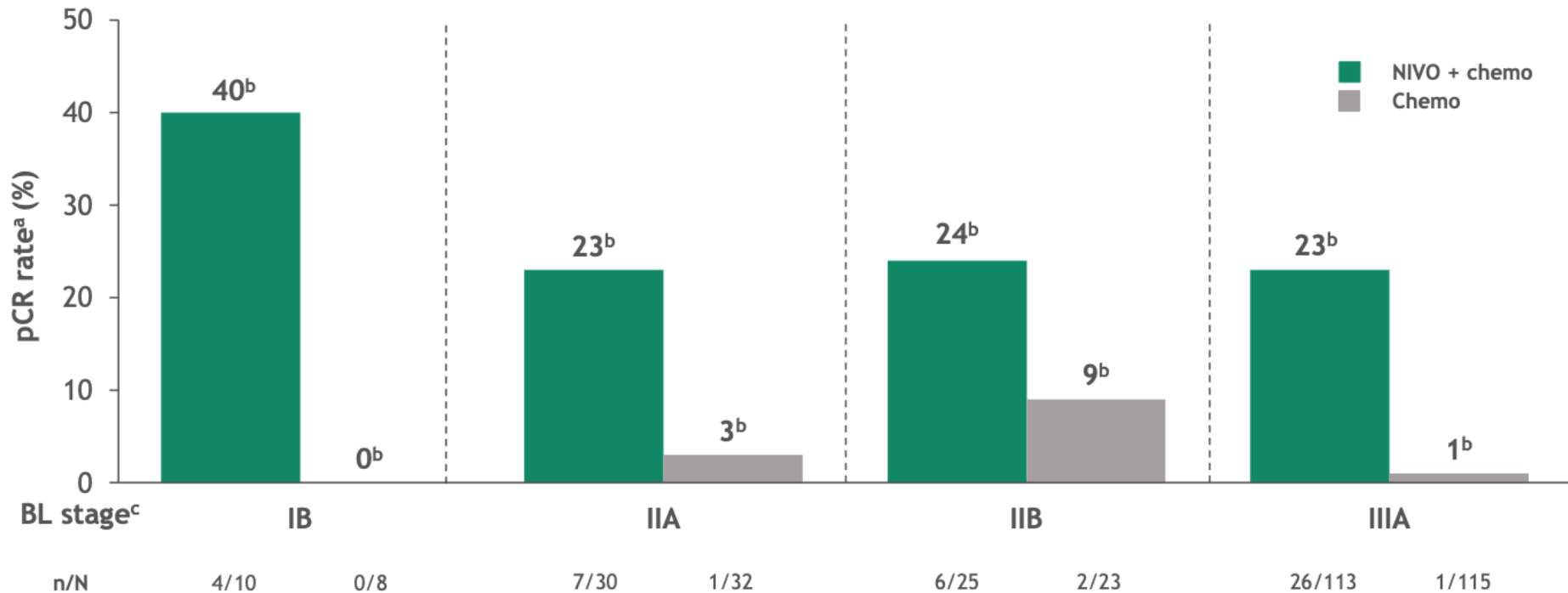
Key exploratory endpoints included

- ORR by BICR
- Feasibility of surgery; peri- and post-operative surgery-related AEs

Neoadjuvant immunochemotherapy in NSCLC

Pathological Complete Response

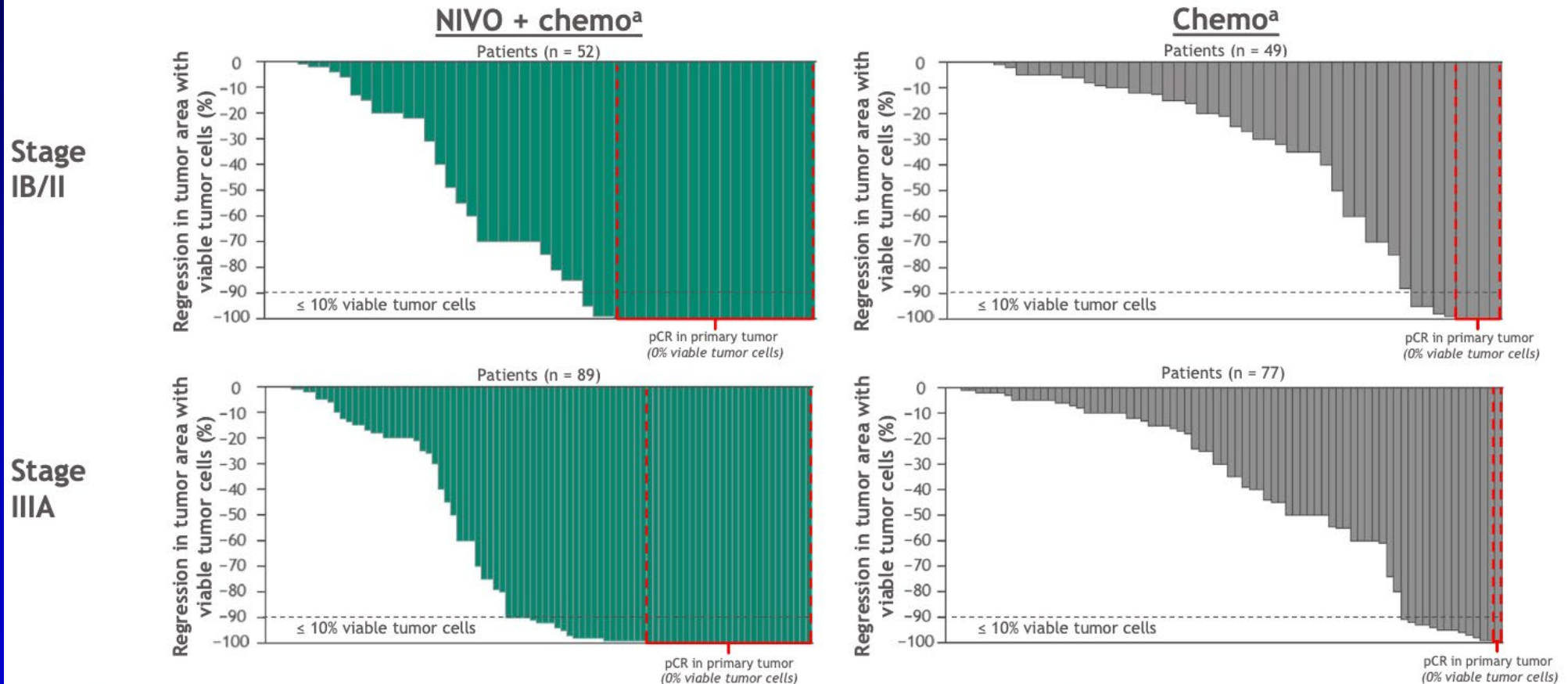
pCR by baseline stage of disease



- pCR improvement with NIVO + chemo vs chemo was observed regardless of radiologic down-staging^d

Depth of Response to Treatment by Stage

Depth of pathological regression in primary tumor by stage^a

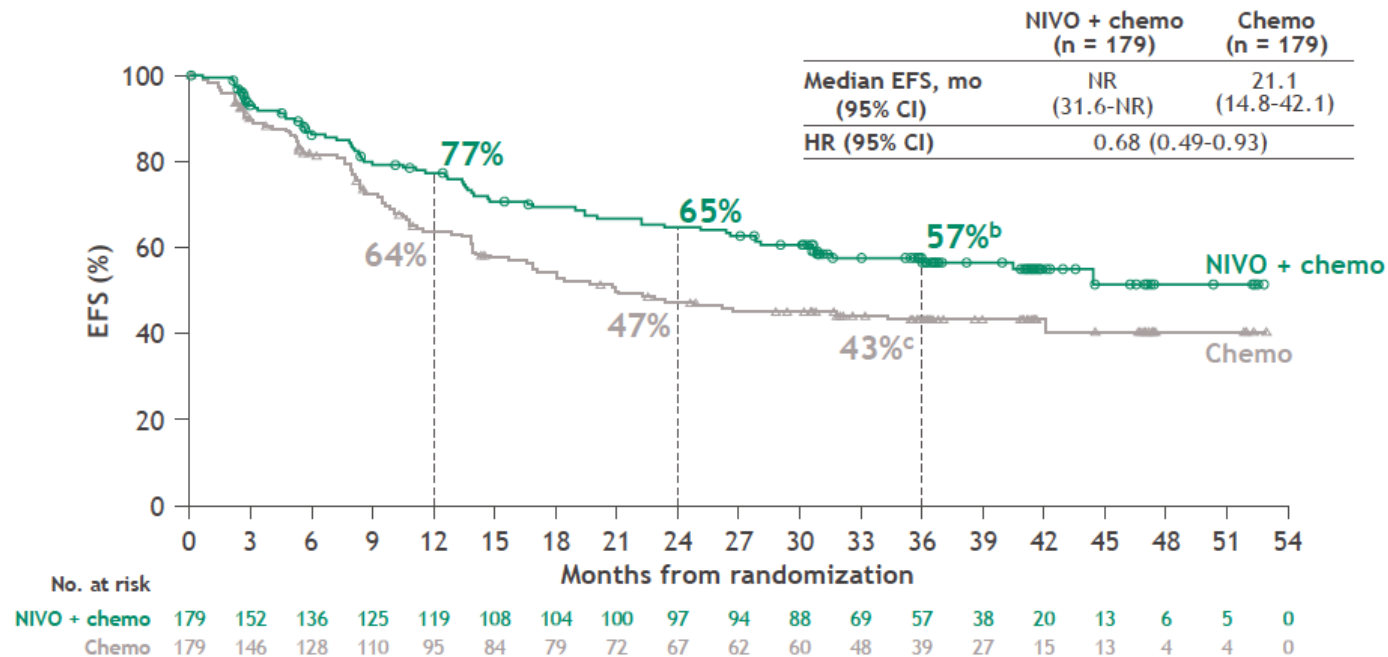


- The median residual viable tumor percentage in stage IB/II and IIIA was 28% and 8% with NIVO + chemo vs 79% and 70% with chemo, respectively

Improved EFS

CheckMate 816: 3-y efficacy/safety update and biomarker analyses

EFS with neoadjuvant NIVO + chemo vs chemo: 3-year update^a



Minimum/median follow-up: 32.9/41.4 months.

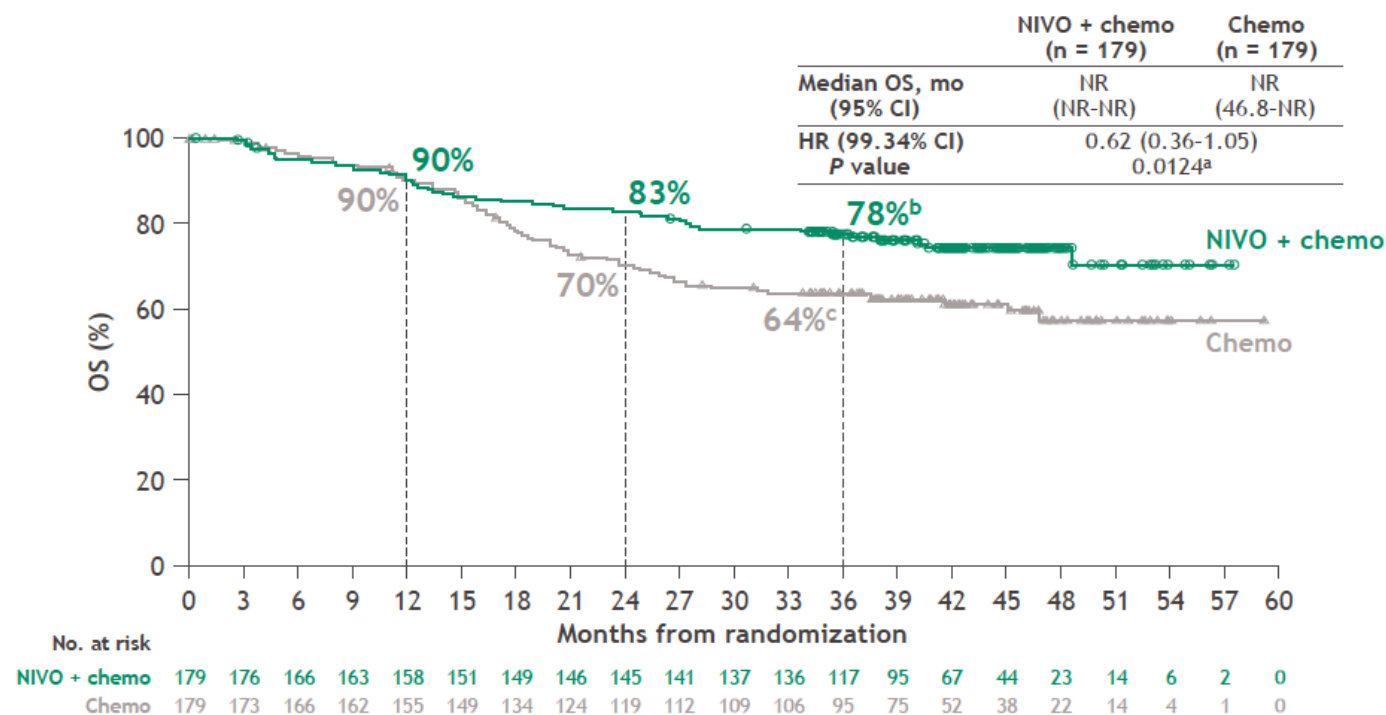
^aExploratory analysis. Time from randomization to any disease progression precluding surgery, disease progression/recurrence after surgery, progression in patients without surgery, or death due to any cause per BICR.

Patients who received subsequent therapy were censored at the last evaluable tumor assessment on or prior to the date of subsequent therapy. ^{b,c}95% CIs for 3-year EFS rates: ^b48-64; ^c35-51.

Improved OS with Neoadjuvant IO/CT

CheckMate 816: 3-y efficacy/safety update and biomarker analyses

OS with neoadjuvant NIVO + chemo vs chemo: 3-year update



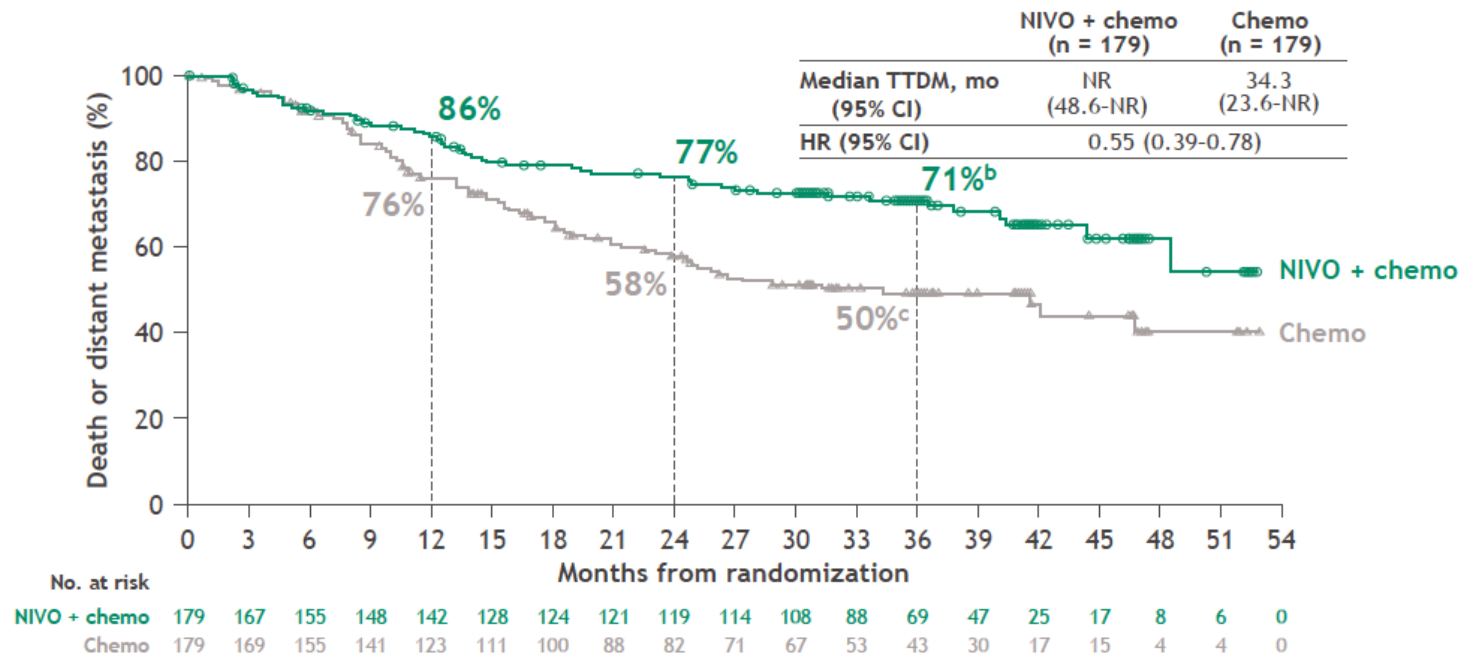
Minimum/median follow-up: 32.9/41.4 months.

^aSignificance boundary for OS was not crossed at this interim analysis. ^b95% CIs for 3-year OS rates: ^b71-83; ^c56-70.

Delayed Time to Distant Metastasis

CheckMate 816: 3-y efficacy/safety update and biomarker analyses

TTDM^a with neoadjuvant NIVO + chemo vs chemo: 3-year update



Minimum/median follow-up: 32.9/41.4 months.

^aTime between the date of randomization and the first date of distant metastasis or the date of death in the absence of distant metastasis per BICR. ^b95% CI for 3-year TTDM rates: ^b63-77; ^c41-57.

Perioperative/Sandwich Treatment

Checkmate 77T. Nivolumab

Keynote 671. Pembrolizumab

Aegean. Durvalumab

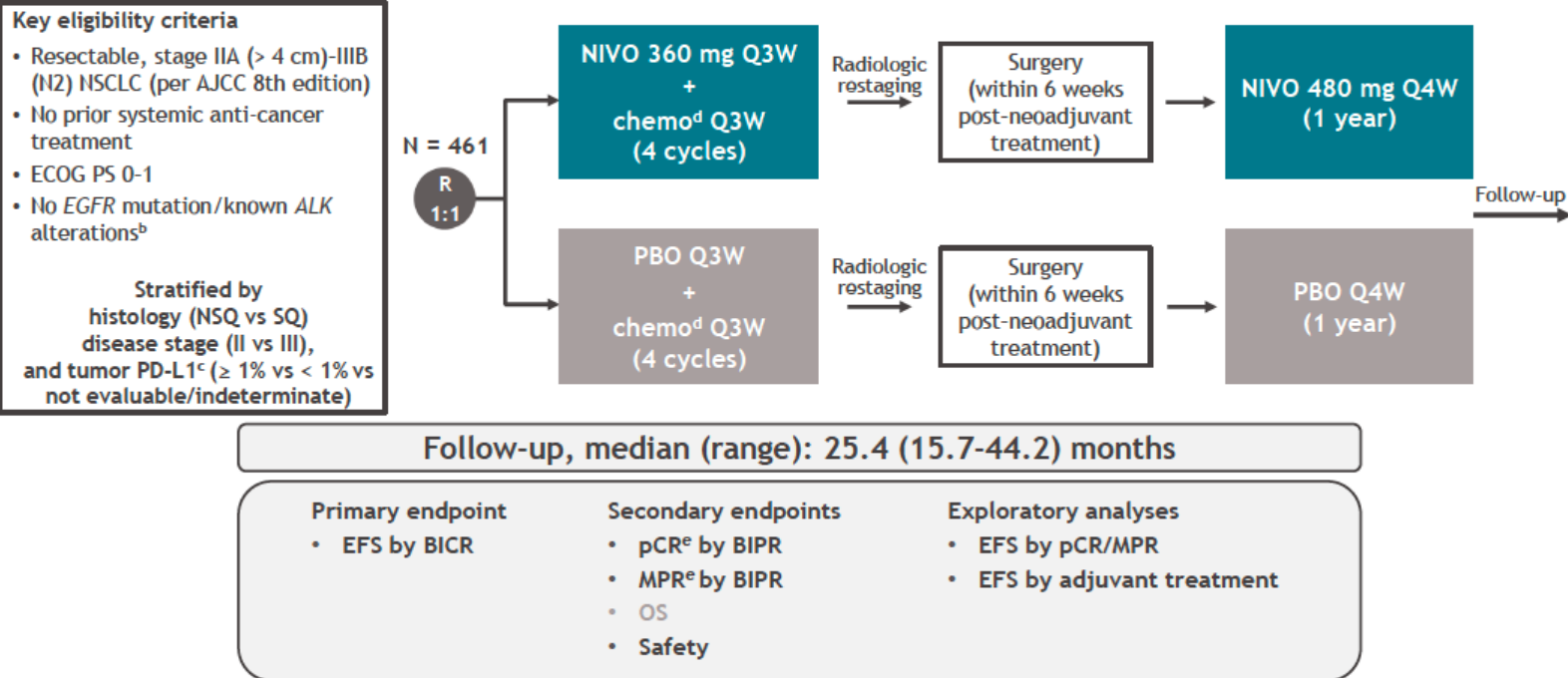
Neotorch. Torpalimab

Rationale 315. Tislelizumab

Checkmate 77T, with a new design

CheckMate 77T: perioperative NIVO in resectable NSCLC

CheckMate 77T^a study design



Database lock date: September 6, 2023.

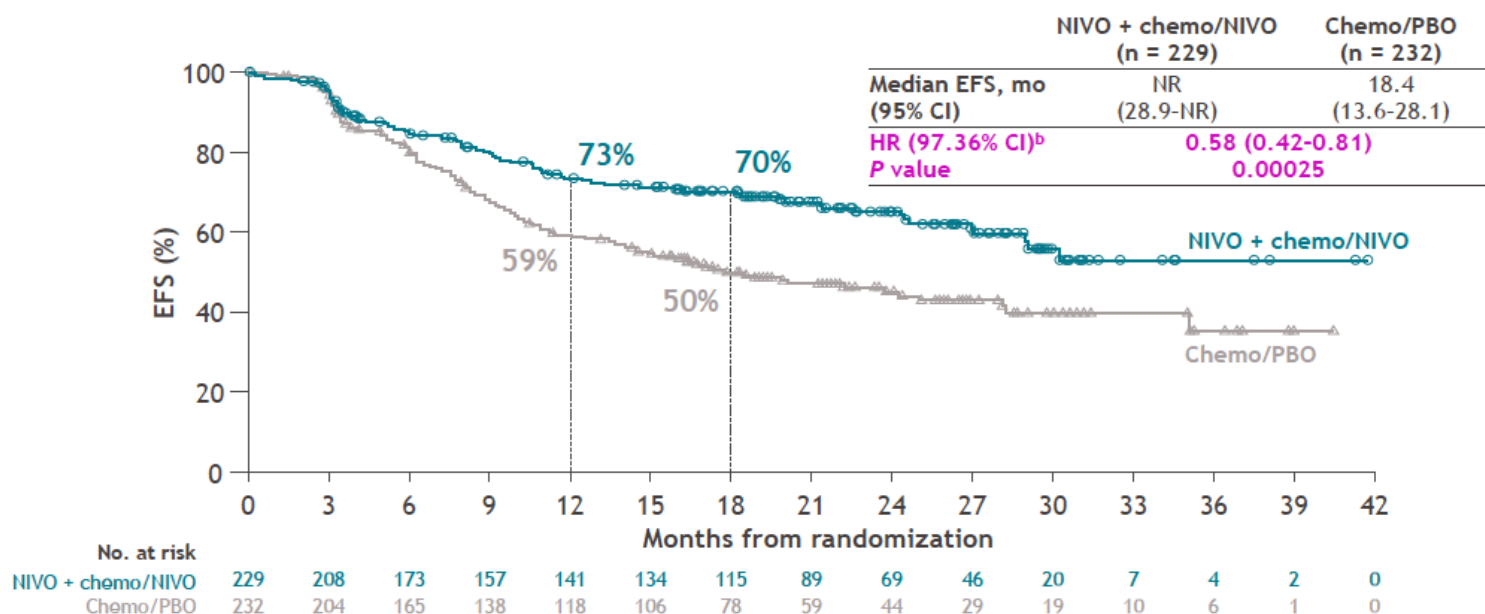
^aNCT04025879. ^b*EGFR* testing was mandatory in all patients with NSQ histology. *ALK* testing was done in patients with a history of *ALK* alterations. *EGFR/ALK* testing done using US FDA/local health authority-approved assays. ^cDetermined by the PD-L1 IHC 28-8 pharmDx assay (Dako). ^dNSQ: cisplatin + pemetrexed, carboplatin + pemetrexed, or carboplatin + paclitaxel; SQ: cisplatin + docetaxel or carboplatin + paclitaxel. ^eAssessed per immune-related pathologic response criteria. ^fBICR, blinded independent central review; BIPR, blinded independent pathological review. 1. Cottrell TR, et al. *Ann Oncol* 2018;29:1853-1860.

EFS improved in Checkmate 77T

Primary endpoint:

EFS^a per BICR with neoadjuvant NIVO + chemo/adjuvant NIVO vs chemo/PBO

CheckMate 77T: perioperative NIVO in resectable NSCLC



- EFS per investigator assessment, NIVO + chemo/NIVO vs chemo/PBO: HR, 0.56; 95% CI, 0.41-0.76

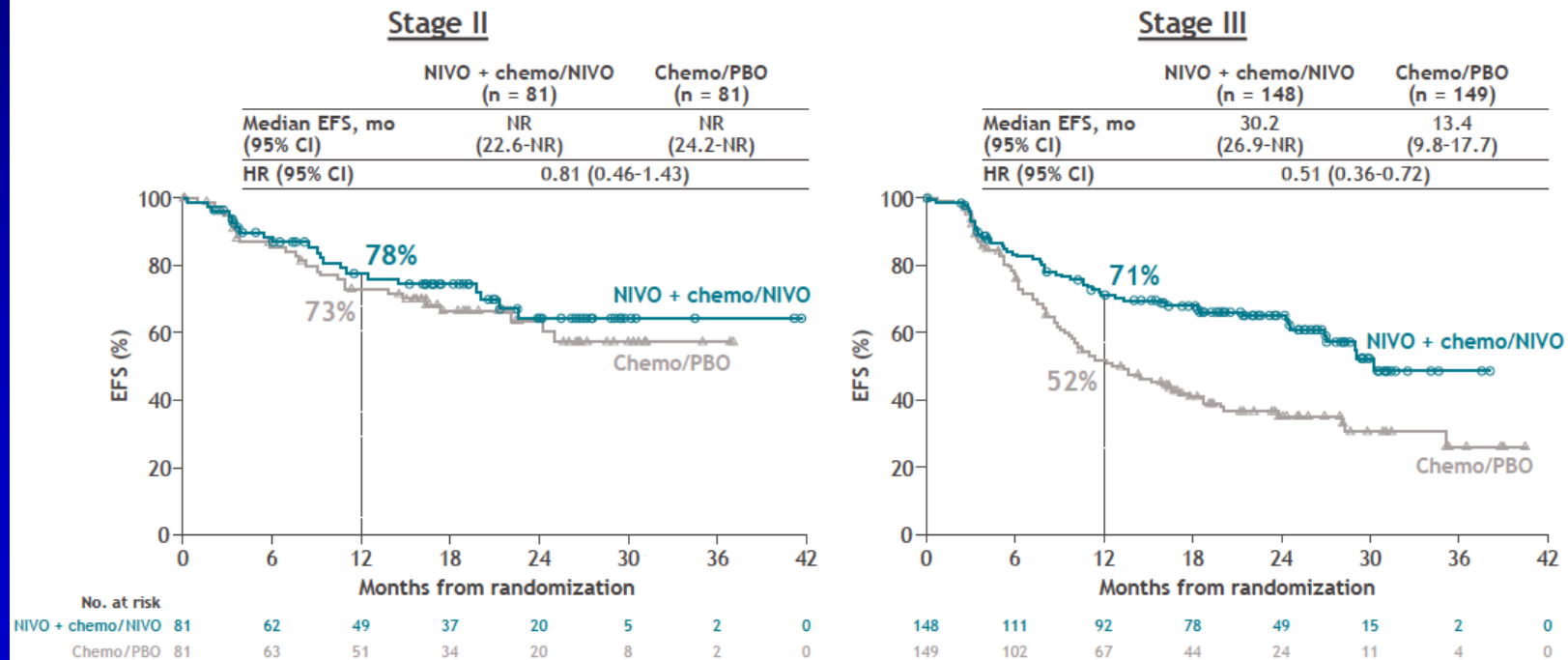
Median follow-up (range): 25.4 months (15.7-44.2).

^aTime from randomization to any disease progression precluding surgery, abandoned surgery due to unresectability or disease progression, disease progression/recurrence after surgery, progression in patients without surgery, or death due to any cause. Patients who received subsequent therapy were censored at the last evaluable tumor assessment on or prior to the date of subsequent therapy. ^bUnstratified HR (95% CI), 0.59 (0.44-0.79).

Benefit across disease stage

CheckMate 77T: perioperative NIVO in resectable NSCLC

EFS by baseline disease stage

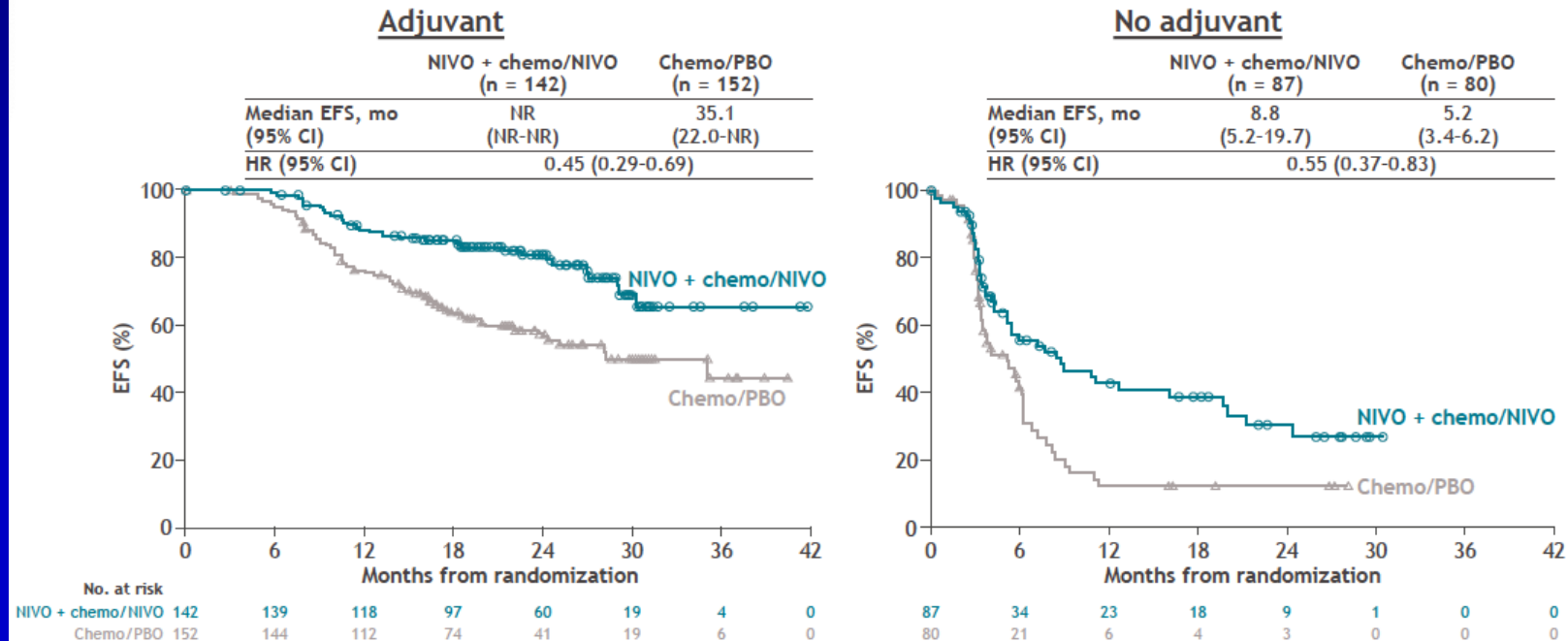


Median follow-up (range): 25.4 months (15.7-44.2).

Benefit of Adjuvant Treatment

CheckMate 77T: perioperative NIVO in resectable NSCLC

Exploratory analysis: EFS by adjuvant treatment status



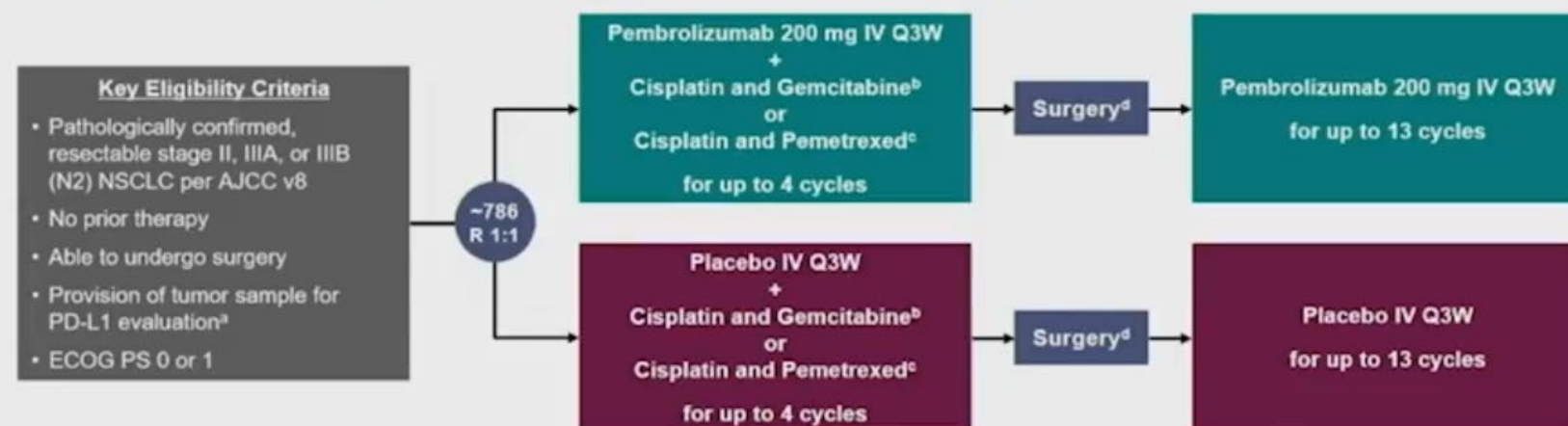
- NIVO + chemo/NIVO improved EFS vs chemo/PBO with numerically higher benefit in patients who received adjuvant treatment (HR [95% CI], 0.45 [0.29-0.69]) vs those who did not (HR [95% CI], 0.55 [0.37-0.83])^a

Median follow-up (range): 25.4 months (15.7-44.2).

^aHR (95% CI), 0.17 (0.11-0.27) in those who received adjuvant treatment vs those who did not in the NIVO + chemo/NIVO arm and 0.15 (0.10-0.22) in the chemo/PBO arm.

Perioperative Pembrolizumab in NSCLC

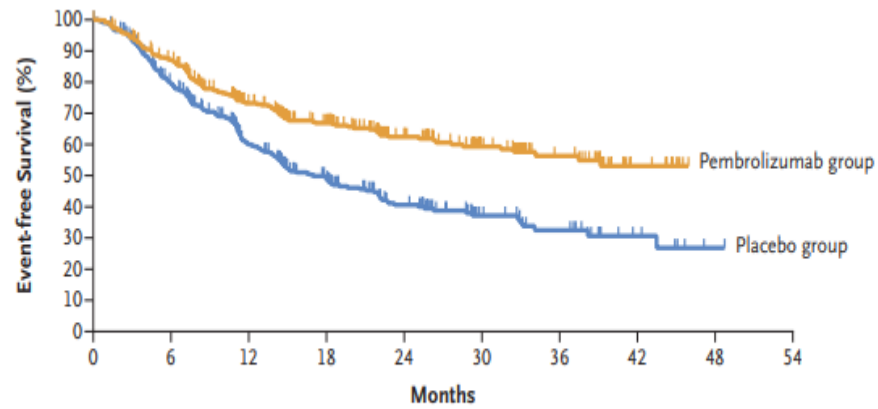
KEYNOTE-671 Study Design Randomized, Double-Blind, Phase 3 Trial



^a Assessed at a central laboratory using PD-L1 IHC 22C3 pharmDx. ^b Cisplatin 75 mg/m² IV Q3W + gemcitabine 1000 mg/m² IV on days 1 and 8 Q3W was permitted for squamous histology only. ^c Cisplatin 75 mg/m² IV Q3W + pemetrexed 500 mg/m² IV Q3W was permitted for nonsquamous histology only. ^d Radiotherapy was to be administered to participants with microscopic positive margins, gross residual disease, or extracapsular nodal extension following surgery and to participants who did not undergo planned surgery for any reason other than local progression or metastatic disease. ClinicalTrials.gov Identifier: NCT03425643.

Survival Analysis NEJM 2023

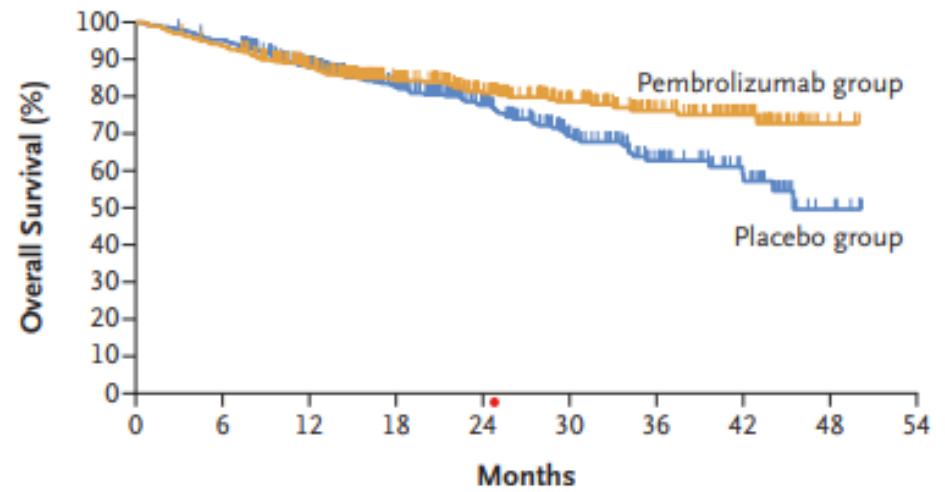
A Event-free Survival



No. at Risk

Pembrolizumab group	397	330	236	172	117	72	42	11	0	0
Placebo group	400	294	183	124	74	38	24	9	1	0

B OS

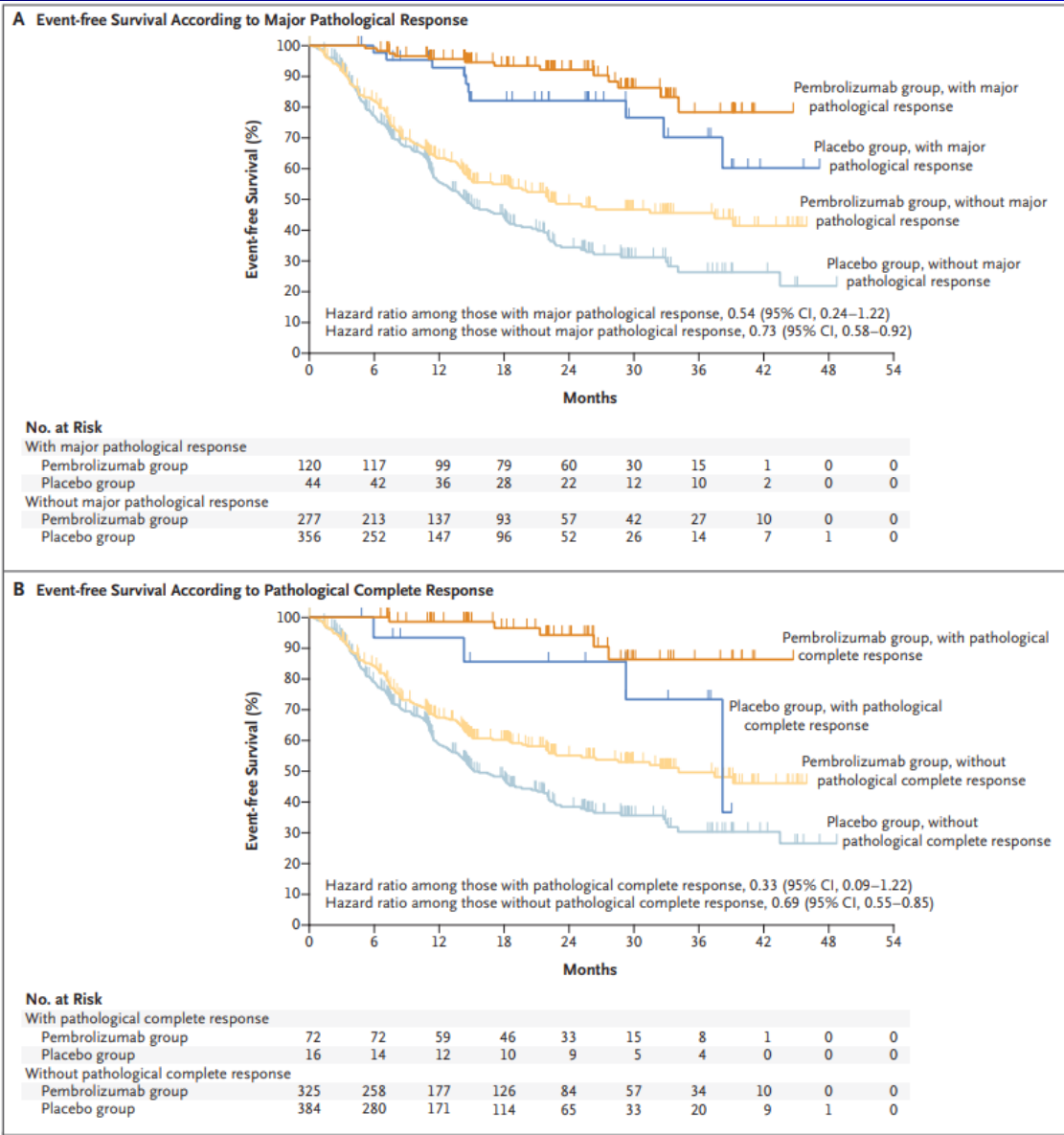


No. at Risk

Pembrolizumab group	397	370	313	232	170	118	76	41	5	0
Placebo group	400	379	316	225	153	91	54	30	6	0

EFS Survival Analysis for Keynote 671

Survival Analysis NEJM 2023



Timing of Biomarker Testing

As soon as enough tissue is available
Takes 2-3 weeks to complete

Can tell more about the prognosis, treatment algorithm
Existence of actionable driver vs none (e.g. EGFR, ALK)

Tell us about the PD-L1 level, usefulness as adjuvant
And likelihood to respond to neoadjuvant immuno

Tell us about multifocal synchronous vs metastasis
Regardless squamous or adenocarcinoma

Appropriateness to start IO therapy

Why Testing Before Planning Systemic?

Trials	IMPower 010	KN- 091	BR-31	CM-816	AEGEAN	Neotorch	KN-671	CM-77T	RATIONAL E 315
Timing	Adjuvant	Adjuvant	Adjuvant	neoadjuvant	Perioperative	Perioperative	Perioperative	Perioperative	Perioperative
Sample size	1005	1177	1415	358	802	500	786	461	453
ICI Agent	Atezo	Pembro	Durva	Nivo	Durva	Torpalimab	Pembro	Nivo	Tisle
Chemo agents	Platinum doublet	Platinum doublet	Platinum doublet	Platinum doublet	Platinum doublet	Platinum doublet	Platinum doublet	Platinum doublet	Platinum doublet
IO #	16	18	12	3	16	17	13	16	11-12
Stage Range	Ib-IIIa >4cm	Ib-IIIa >4cm	Ib-IIIa >4cm	Ib-IIIa resectable	II-IIIb Resectable	II-IIIb Resectable	II-IIIb resectable	II-IIIb resectable	II-IIIa resectable
Stage II/III %	59/41	72/28	62/38	63/64	27/73	20/80	30/70	35/65	41/59
Primary EP	DFS	DFS PD-L1> 50%	DFS PD-L1 >25%	pCR, EFS	MPR, EFS	MPR, EFS	EFS, OS	EFS	MPR, EFS
Driver + EGFR/ALK	15%	7.5%	14%	Not documented	No doc mutation	WT	No	No Doc EGFR/ ALK	WT

(Adjuvant chemo) Indications

- Stage Ia (~T1a), (T2a = Ib size $\leq 4\text{cm}$) is not given.
- Stage II-IIIa given. **Stage IIa = T2b ($>4\text{cm}$)**
- Given after complete resection with node dissection.
- Within 4-6 weeks after resection.
- Good performance.
- 4 cycles of platinum containing doublets (cis preferred). But substitute cisplatin with carboplatin if renal Insuf.

Considered in stage I patient (Ib)

Poor differentiation

Lymph vascular invasion

Visceral pleural invasion

Not lobectomy (wedge or segmentectomy)

Unknown nodal status

Some histology such as micropapillary

Young and multiple factors

Use gene panel

Adjuvant Chemo in Early Stages

- Adjuvant chemotherapy with cisplatin-based regimens results in 5-15% improvement in absolute survival for patients with resected stages Ib-IIIa NSCLC
- Survival benefit in stage Ib disease is unproven
- Neo-adjuvant strategy has several advantages over adjuvant chemotherapy, though the two approaches have not been compared against each other.

International adjuvant lung cancer trial (IALT)